



2255 Glades Rd. Suite #324A  
 Boca Raton, FL 33431  
 1-800-713-2296

# Retirement Plan Illustration Request

## PATIENT INFORMATION

\_\_\_\_\_  
 EIN #

\_\_\_\_\_  
 Name (Last, first, middle initial)

\_\_\_\_\_  
 Company Name

\_\_\_\_\_  
 Street address, City, ST, ZIP Code

\_\_\_\_\_  
 Primary phone number | Other phone number

\_\_\_\_\_  
 Email address

### Business Entity Type

- C-Corp     S-Corp     Partnership     LLC

If LLC< how is the business taxed?

- C-Corp     S-Corp     Partnership

Does the company have a previously established retirement plan? **(If yes, please attach a copy of the current Adoption Agreement)**

- SEP IRA     401k     Simple     Defined Benefit     Other     None

If a 401k has been established, what type of plan is it?

- Safe Harbor     Safe Harbor 3%     Non Safe Harbor

### Type of Request

- Traditional 401k / Roth 401k     Profit Sharing Plan     Cash Balance  
 Safe Harbor 401k     Defined Benefit Plan     401k + Cash Balance

Please provide the following information for Owner(s) and **all employees who worked at any time** during the fiscal year. Plan design will determine contributions on behalf of employees.

### Employers Information

Owner(s) Last Names	Owner(s) First Name	Owner %	Gender	Date of Birth	Date of Hire	Salary

### Employees Information

Employee(s) Last Names	Employee (s) First Name	Gender	Date of Birth	Date of Hire	Salary

**Compensation Notes:**

- For common-law employees, compensation is defined as W-2 income.
- For owners of S-Corporations, C-Corporations or LLC's taxed as a corporation, compensation is defined as W-2 income.
- S-Corporations cannot use Schedule K-1 dividend distributions as compensation
- For self-employed individuals (sole-proprietorships, partnerships or LLC's taxed as a sole proprietorships/partnerships, compensation is defined as net profit without reductions for plan contributions or self-employment tax